

## DECOMPOSITION OF BINARY MATROIDS

J. E. DAWSON

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We prove results relating to the decomposition of a binary matroid, including its uniqueness when the matroid is cosimple. We extend the idea of “freedom” of an element in a matroid to “freedom” of a set, and show that there is a unique maximal integer polymatroid inducing a given binary matroid.

## 1. Introduction; balanced sets

The notion of a balanced set is a useful tool in analysing the subject of sums of matroids. Following [2], when a matroid  $\mathcal{E}$  (with rank function  $\varrho$ ) is induced by an integer polymatroid  $\mu$  (i.e. an increasing integer-valued submodular set function such that  $\mu(\emptyset)=0$ ), we say a set  $A \subseteq E$  is  $\mu$ -balanced if  $\mu A = \varrho A$ . We say that a subset of  $E$  is balanced if it is so implied by the following rules:

- B(1) circuits of  $\mathcal{E}$  are balanced,
- B(2) a union of balanced sets is balanced,
- B(3)  $[A]$  is balanced if and only if  $A$  is balanced,
- B(4) if  $A \cup B \in \mathcal{E}$  and  $A, B$  are balanced then so is  $A \cap B$ .

It is shown in [2] that  $A$  is balanced if and only if  $A$  is  $\mu$ -balanced for every choice of  $\mu$ , and that if we replace “balanced” by “ $\mu$ -balanced” then B(1) to B(4) remain true. If  $\mathcal{E}$  is a sum (or union) of matroids,  $\mathcal{E} = \mathcal{F} \vee \mathcal{G}$ , say, where  $\mathcal{F}$  and  $\mathcal{G}$  have rank functions  $\sigma$  and  $\tau$ , then  $\mathcal{E}$  is induced by  $\mu = \sigma + \tau$ , and we can apply any result on balanced sets to this situation. Thus any balanced singleton in  $\mathcal{E}$  is a loop of either  $\mathcal{F}$  or  $\mathcal{G}$ . If every singleton of  $\mathcal{E}$  is balanced then either  $\mathcal{E}$  is disconnected and  $\mathcal{F} \vee \mathcal{G}$  is a direct sum decomposition of  $\mathcal{E}$ , or  $\mathcal{E}$  is irreducible (i.e. either  $\mathcal{F}$  or  $\mathcal{G}$  equals  $\mathcal{E}$ ). This is dealt with in Duke [4], Ch 5 (where  $\|e\| \equiv 1$  if and only if  $\{e\}$  is balanced).

Throughout the paper,  $\mathcal{E}$ ,  $\mathcal{F}$  and  $\mathcal{G}$  will denote matroids (precisely, the independent set collections of matroids) on a finite set  $E$ , with rank functions  $\varrho$ ,  $\sigma$  and  $\tau$  respectively. We define the support of  $\mathcal{F}$  to be  $s(\mathcal{F}) = \{f \in E : \{f\} \in \mathcal{F}\}$ . A 1-coflat

is a coflat of corank 1, and a 2-cocircuit is a cocircuit with 2 elements. Restrictions (deletions) are denoted by  $\mathcal{E}|E \setminus A$  or  $\mathcal{E} \setminus A$ , and contractions by  $\mathcal{E} \cdot E \setminus A$  or  $\mathcal{E}/A$ , as convenient. To say  $\mathcal{E}$  is connected will mean that  $\mathcal{E}|s(\mathcal{E})$  is connected (i.e. not separable). That is, a connected matroid may have loops. We start with several lemmas which should be of interest in their own right. The first is Theorem 3 of Lovász & Recski [5].

**Lemma 1.1.** *Let  $\mathcal{E} = \mathcal{F} \vee \mathcal{G}$  (with rank functions  $\varrho, \sigma$  and  $\tau$ ). Let  $\mathcal{E} = \mathcal{E}|A \oplus \mathcal{E}|B$ . Then if  $\mathcal{E}$  is coloop-free (or, generally, if  $A$  and  $B$  are  $(\sigma + \tau)$ -balanced) then  $\mathcal{F} = \mathcal{F}|A \oplus \mathcal{F}|B$  and  $\mathcal{G} = \mathcal{G}|A \oplus \mathcal{G}|B$ .*

**Proof.** If  $\mathcal{E}$  is coloop-free, then each connected component, and hence  $A$  and  $B$ , are fully dependent and so  $(\sigma + \tau)$ -balanced. Thus  $\varrho E = \varrho A + \varrho B = \sigma A + \tau A + \sigma B + \tau B \cong \sigma E + \tau E \cong \varrho E$ . So we have equality throughout, and  $\sigma A + \sigma B = \sigma E$  and  $\tau A + \tau B = \tau E$ , whence the result. ■

**Lemma 1.2.** (i) *Let the integer polymatroid  $\mu$  induce  $\mathcal{E}$  on  $E$ , and let  $A \subseteq E$ . Let  $\mu_A$ , given by  $\mu_A(F) = \mu(F \cup A) - \mu A$ , induce  $\mathcal{F}$  on  $E$ . Then  $\mathcal{F}$  is a strong map image of  $\mathcal{E}/A$ , and if  $A$  is  $\mu$ -balanced, then  $\mathcal{F} = \mathcal{E}/A$ .*

(ii) *Let  $\mathcal{E} = \mathcal{F} \vee \mathcal{G}$  and  $A \subseteq E$ . Then  $\mathcal{F}/A \vee \mathcal{G}/A$  is a strong map image of  $\mathcal{E}/A$ , and if  $A$  is  $(\sigma + \tau)$ -balanced, then  $\mathcal{E}/A = \mathcal{F}/A \vee \mathcal{G}/A$ .*

**Proof.** (i) Let  $\mathcal{E}/A$  and  $\mathcal{F}$  have rank functions  $\varrho_A(\varrho_A(F) = \varrho(F \cup A) - \varrho A)$  and  $\sigma$ . Let  $F \subseteq G \subseteq E \setminus A$ ; then for some  $F' \subseteq F$ ,  $G' \subseteq G$  and  $A' \subseteq A$ ,

$$\begin{aligned} \varrho_A(G) + \sigma F &= \mu(G' \cup A') + |G \setminus G'| + |A \setminus A'| - \varrho A + \mu(F' \cup A) + |F \setminus F'| - \mu A \\ &\cong \mu(G' \cup F' \cup A) + \mu((G' \cap F') \cup A') + |G \setminus (G' \cup F')| + |F \setminus (G' \cap F')| \\ &\quad + |A \setminus A'| - \mu A - \varrho A \cong \sigma G + \varrho_A(F). \end{aligned}$$

Thus  $\mathcal{F}$  is a strong map image of  $\mathcal{E}/A$ . If  $A$  is  $\mu$ -balanced, then for  $F \subseteq E \setminus A$ ,

$$\varrho_A(F) = \varrho(F \cup A) - \varrho A \cong \mu(F \cup A) - \mu A = \mu_A(F).$$

So  $\mathcal{E}/A \subseteq \mathcal{F}$  and we have the required equality.

(ii) Let  $\mu = \sigma + \tau$ ; then  $\mu_A = \sigma_A + \tau_A$  induces  $\mathcal{F}/A \vee \mathcal{G}/A$ . The result now follows from (i). ■

We now record known results which will be used frequently later.

**Lemma 1.3.** *Let  $\mathcal{E} \setminus e$  be disconnected,  $\mathcal{E} \setminus e = \mathcal{E}|E_1 \oplus \mathcal{E}|E_2$ . Let  $\mathcal{E}_i = \mathcal{E}/E_i$  ( $i = 1, 2$ ). Then*

- (i)  $\mathcal{E} = \mathcal{E}_1 \vee \mathcal{E}_2$
- (ii)  $\mathcal{E}$  is connected  $\Rightarrow \mathcal{E}_1$  and  $\mathcal{E}_2$  are connected, and if  $\{e\} \in \mathcal{E}_1 \cap \mathcal{E}_2$  then the reverse implication holds.
- (iii)  $\mathcal{E}$  is binary  $\Leftrightarrow \mathcal{E}_1$  and  $\mathcal{E}_2$  are binary.

**Proof.** (i) If  $\mathcal{E}$  is connected, this is [1]. Theorem 4; otherwise, the result follows by considering the connected component of  $\mathcal{E}$  containing  $e$ .

(ii) Let  $\mathcal{E}_1 = \mathcal{E}_1|A \oplus \mathcal{E}_1|B$ , with  $e \in B$ . Then  $\mathcal{E} = \mathcal{E}_1|A \oplus (\mathcal{E}_1|B \vee \mathcal{E}_2)$ , and the result follows. Conversely suppose  $\mathcal{E}$  is disconnected; let  $\mathcal{E} = \mathcal{E}|A \oplus \mathcal{E}|B$ , where  $e \in A$ ,  $B$  is connected and both summands are non-trivial. As  $B$  is connected, we may assume that  $B \subseteq E_1$ . Then  $\mathcal{E}/E_2 = (\mathcal{E}|A)/E_2 \oplus \mathcal{E}|B$ ; if  $\{e\} \in (\mathcal{E}|A)/E_2$ ,  $\mathcal{E}/E_2$  is disconnected.

(iii)  $\Rightarrow$  is well known. A proof of  $\Leftarrow$  appears within the proof of [1], Theorem 6. Alternatively, it is easy to construct a representation of  $\mathcal{E}$  over  $\text{GF}(2)$ , given representations of  $\mathcal{E}_1$  and  $\mathcal{E}_2$ . (By such a proof, a corresponding result is true for representability over any field.) ■

## 2. Decomposition of binary matroids

We first use the theory of balanced sets to give a shorter proof of a result which appears in [4], Lemma 4.4, and is close to [1], Theorem 5.

**Lemma 2.1.** *Let  $\mathcal{E}$  be a coloop-free binary matroid on  $E$ , and let  $\{e\} \in \mathcal{E}$  such that  $\mathcal{E} \setminus e$  is connected. Then  $\{e\}$  is balanced.*

**Proof.** Suppose that  $\{e\}$  is not balanced. Let  $A$  be a maximal independent set which contains  $e$  but does not contain any balanced set containing  $e$ . Since  $\mathcal{E}$  is coloop-free,  $E$  and every basis of  $\mathcal{E}$  are balanced by B(1) and B(2); thus  $q(A) \equiv \text{rk}(\mathcal{E}) - 1$ . Suppose  $q(A) \equiv \text{rk}(\mathcal{E}) - 2$ . Then let  $A \cup \{a, b\} \in \mathcal{E}$ ; by the maximality of  $A$ , there are balanced sets  $A' \cup a$  and  $A'' \cup b$ , where  $e \in A'$ ,  $A'' \subseteq A$ . Then as  $(A' \cup a) \cup (A'' \cup b) \in \mathcal{E}$ ,  $(A' \cup a) \cap (A'' \cup b) = A' \cap A''$  is balanced, by Lemma 1.4(iv), contrary to the choice of  $A$ . Thus  $q(A) = \text{rk}(\mathcal{E}) - 1$ .

Now let  $F = [A]$  (the span of  $A$ ),  $D = E \setminus F$  (which is a cocircuit) and  $F' = F \setminus e$ . Suppose that  $P \subseteq F$  is balanced. We show  $e \notin P$ . Let  $Q \subseteq A$ , where  $Q$  is minimal such that  $P \subseteq [Q]$ . Then  $P \cup Q$  is a union of  $P$  and some circuits, and hence is balanced; as  $[P \cup Q] = [Q]$ ,  $Q$  is balanced. As  $P \cap A \subseteq Q \subseteq A$ ,  $e \notin P$ . Thus  $F$  does not contain a balanced set containing  $e$ ; hence  $e$  is a coloop of  $F$ .

As  $\mathcal{E}$  is binary it has at most 3 hyperplanes containing the coline  $F'$ ; since  $E \setminus e$  spans  $\mathcal{E}$ , it has exactly 3, say  $F' \cup e$ ,  $F' \cup G$  and  $F' \cup H$ . Thus  $G \cup e$ ,  $H \cup e$  and  $G \cup H = D$  are cocircuits of  $\mathcal{E}$ .

As  $\mathcal{E} \setminus e$  is connected, we may choose  $g \in G$ ,  $h \in H$  and a circuit  $C$  containing  $g$  and  $h$  but not  $e$ ; choose  $g$ ,  $h$  and  $C$  so that  $|C \cap D|$  is minimal. As a circuit and a cocircuit do not intersect in exactly one element (this fact will be used frequently), let  $g' \in C \cap (G \cup e) = C \cap G$ , such that  $g' \neq g$ .

Let  $B$  be a basis of  $F$  containing  $C \cap F$ ; for  $x \notin B \cup g$ , let  $C(x)$  be the fundamental circuit of  $x$  with respect to the basis  $B \cup g$  of  $\mathcal{E}$ . As  $|C(g') \cap D| \neq 1$ ,  $C(g') \cap D = \{g, g'\}$ , and as  $|C(g') \cap (H \cup e)| \neq 1$ ,  $e \notin C(g')$ . As  $\mathcal{E}$  is binary, the symmetric difference  $C(g') \Delta C$  contains a circuit  $C_H$  containing  $h$ . By the choice of  $C$ ,  $C_H \cap G = \emptyset$ . Clearly  $C_H \cap C(g') \neq \emptyset$ , so  $C_H \cup C(g')$  contains a circuit  $C'$  containing  $g$  and  $h$ ; we have  $|C' \cap G| = |\{g, g'\}| = 2$  and  $C' \cap H \subseteq C \cap H$ . Thus, by the choice of  $C$ ,  $|C \cap G| = 2$ ; similarly it can be shown that  $|C \cap H| = 2$ . We have  $C \cap G = \{g, g'\}$ ; let  $C \cap H = \{h, h'\}$ . As  $C_H \subseteq C \Delta C(g')$  and  $C_H \cap G = \emptyset$ ,  $C_H \cap D = \{h, h'\}$ ; hence  $C_H = C(h) \Delta C(h')$  and  $C = C(g') \Delta C_H$ . Let  $f \in C(g') \cap C_H$ , and without loss of generality let  $f \in C(h') \setminus C(h)$ .

We have balanced sets  $C(h) \setminus h$  and  $(C(g') \Delta C(h')) \setminus g'$  (by Lemma 1.4), neither of which contains  $f$ . Thus  $(C(h) \setminus h) \cup \{(C(g') \Delta C(h')) \setminus g'\}$  does not con-

tain  $g', h$  or  $C(h')$ , and hence is independent. Therefore, by Lemma 1.4(iv),  $(C(h) \setminus h) \cap \{(C(g') \triangle C(h')) \setminus g'\}$  is a balanced subset of  $F$ , containing  $e$  (since each circuit  $C(x)$  satisfies  $|C(x) \cap (H \cup e)| \neq 1$ ). This contradicts our choice of  $F$ ; hence  $\{e\}$  is balanced. ■

The next theorem confirms a conjecture of Recski ([9], Problem 4).

**Theorem 2.2.** *Let  $\mathcal{E}$  be a cosimple binary matroid, and suppose that  $\mathcal{E} = \mathcal{F} \vee \mathcal{G}$ . Then  $\mathcal{F}$  and  $\mathcal{G}$  are also binary cosimple.*

**Proof.** It is easy to see that  $\mathcal{F}$  and  $\mathcal{G}$  must be cosimple. We prove that they are binary by induction on  $|E|$  (i.e.  $|s(\mathcal{E})|$ ). If  $s(\mathcal{F}) \cap s(\mathcal{G}) = \emptyset$ , then the result is trivial, otherwise let  $e \in s(\mathcal{F}) \cap s(\mathcal{G})$ . As  $\{e\}$  is not balanced, we have  $\mathcal{E} \setminus e = \mathcal{E}|E_1 \oplus \mathcal{E}|E_2$  and  $\mathcal{E} = \mathcal{E}/E_2 \vee \mathcal{E}/E_1$ , by Lemmas 2.1 and 1.3. As  $\mathcal{E} \setminus e$  is coloop-free,  $\mathcal{F} \setminus e = \mathcal{F}|E_1 \oplus \mathcal{F}|E_2$  (by Lemma 1.1), and so  $\mathcal{F} = \mathcal{F}/E_2 \vee \mathcal{F}/E_1$  (similarly for  $\mathcal{G}$ ). Also,  $E_1$  and  $E_2$  are fully dependent and hence balanced (in  $\mathcal{E}$ ), and so  $\mathcal{E}/E_i = \mathcal{F}/E_i \vee \mathcal{G}/E_i$  ( $i=1, 2$ ), by Lemma 1.2(ii). Now  $\mathcal{E}/E_i$  are binary cosimple, being contractions of  $\mathcal{E}$ , and so, by induction on  $|E|$ ,  $\mathcal{F}/E_i$  and  $\mathcal{G}/E_i$  are also binary. Hence, by Lemma 1.3 (iii),  $\mathcal{F}$  and  $\mathcal{G}$  are binary. ■

We now proceed towards proving the uniqueness of the sum decomposition of a binary matroid.

**Theorem 2.3.** *Let  $\mathcal{E}$  be a binary coloop-free matroid, and suppose that  $\mathcal{E} = \mathcal{F} \vee \mathcal{G}$ , where  $\mathcal{F}$  and  $\mathcal{G}$  are connected. Let  $A = s(\mathcal{F}) \cap s(\mathcal{G})$ . If  $|A| \geq 2$ , then  $A$  is a union of 2-cocircuits of  $\mathcal{E}$ .*

**Proof.** Suppose the result is false; look at a counterexample with  $|E|$  (i.e.  $|s(\mathcal{E})|$ ) minimal. Let  $e \in A$  such that  $e$  is not in a 2-cocircuit in  $A$ , that is,  $\mathcal{E} \setminus e$  has no coloops in  $A$ .

As  $(\sigma + \tau)(e) = 2$ ,  $\{e\}$  is not balanced, and so, by Lemma 2.1,  $\mathcal{E} \setminus e$  is disconnected and we have  $\mathcal{E} \setminus e = \mathcal{E}|E_1 \oplus \mathcal{E}|E_2$ , where  $E_1$  and  $E_2$  are non-empty unions of connected components of  $\mathcal{E} \setminus e$ . As coloops of  $\mathcal{E} \setminus e$  are in  $E \setminus A$  and hence are  $(\sigma + \tau)$ -balanced, each connected component of  $\mathcal{E} \setminus e$  is  $(\sigma + \tau)$ -balanced and so  $E_1$  and  $E_2$  are  $(\sigma + \tau)$ -balanced.

Now as  $E_1$  and  $E_2$  are  $(\sigma + \tau)$ -balanced,  $\mathcal{E}/E_i = \mathcal{F}/E_i \vee \mathcal{G}/E_i$  ( $i=1, 2$ ), by Lemma 1.2(ii). Also  $\mathcal{F} \setminus e = \mathcal{F}|E_1 \oplus \mathcal{F}|E_2$ , and so  $\mathcal{F} = \mathcal{F}/E_2 \vee \mathcal{F}/E_1$ , by Lemmas 1.1 and 1.3. As  $\mathcal{F}$  is connected,  $\mathcal{F}/E_i$  are connected ( $i=1, 2$ ). Corresponding results apply for  $\mathcal{G}$ . As  $\mathcal{E}/E_i = \mathcal{F}/E_i \vee \mathcal{G}/E_i$ , we have, by the minimality of  $|E|$ , that  $s(\mathcal{F}/E_i) \cap s(\mathcal{G}/E_i)$  is either a singleton or is a union of 2-cocircuits (of  $\mathcal{E}/E_i$ , and hence also of  $\mathcal{E}$ ).

Now  $s(\mathcal{F}/E_1)$  is equal to either  $s(\mathcal{F}) \cap (E_2 \cup e)$  or  $s(\mathcal{F}) \cap E_2$ . Suppose it is the latter. Then  $E_1$  spans  $e$ ,  $\mathcal{F} = \mathcal{F}|(E_1 \cup e) \oplus \mathcal{F}|E_2$  and, since  $\mathcal{F}$  is connected,  $\mathcal{F}|E_2$  is null and  $\mathcal{F}|(E_1 \cup e) = \mathcal{F}/E_2 = \mathcal{F}$ . Thus  $\mathcal{E} = \mathcal{F} \vee \mathcal{G}/E_2 \vee \mathcal{G}/E_1$ . If also  $e \notin s(\mathcal{G}/E_2)$ , then by a similar argument,  $\mathcal{G}|E_1$  is null and  $A = \{e\}$ ; otherwise  $A = s(\mathcal{F}) \cap s(\mathcal{G}/E_2)$  which, as above, is either a singleton or a union of 2-cocircuits of  $\mathcal{E}$ .

We may now assume that  $s(\mathcal{F}/E_i)$  and  $s(\mathcal{G}/E_i)$  all contain  $e$ . Then  $s(\mathcal{F}/E_i) \cap s(\mathcal{G}/E_i)$  ( $i=1, 2$ ) are each either equal to  $\{e\}$  or a union of 2-cocircuits of  $\mathcal{E}$ . Hence the same is true of their union, which is equal to  $A$ . ■

We conjecture that, under the assumptions of Theorem 2.3,  $\varrho^*(A)=1$  (i.e. every 2-subset of  $A$  is a cocircuit of  $\mathcal{E}$ ).

We now can deduce the uniqueness of the sum decomposition of cosimple binary matroids, as conjectured by Cunningham [1], §6.

**Definition.**  $\mathcal{E} = \bigvee_{i \in I} \mathcal{E}_i$  is a *forest decomposition* of  $\mathcal{E}$  if the bipartite graph  $(E \cup I, \{\{e, i\}: \{e\} \in \mathcal{E}_i\})$  is a forest.

**Corollary 2.4.** (i) ([1], Conjecture 1). *Every sum decomposition of a binary cosimple matroid into connected matroids is a forest decomposition.*

(ii) ([1], Conjecture 2). *Every cosimple binary matroid has a unique sum decomposition into irreducible matroids.*

**Proof.** (i) Suppose otherwise, say  $\mathcal{E} = \mathcal{E}_1 \vee \dots \vee \mathcal{E}_m$ , where each  $\mathcal{E}_i$  is connected and there are distinct elements  $e_1, e_2, \dots, e_m$  ( $m \geq n$ ) such that  $\{e_i\} \in \mathcal{E}_i \cap \mathcal{E}_{i+1}$  ( $i = 1, \dots, m-1$ ) and  $\{e_m\} \in \mathcal{E}_m \cap \mathcal{E}_1$ . By theorem 2.2,  $\mathcal{E}_1 \vee \dots \vee \mathcal{E}_m$  and  $\mathcal{E}_2 \vee \dots \vee \mathcal{E}_m$  are binary cosimple; as the latter is coloop-free, it follows from Lemma 1.1 that it is connected. Thus  $\mathcal{E}_1 \vee (\mathcal{E}_2 \vee \dots \vee \mathcal{E}_m)$  is binary cosimple (and so has no 2-cocircuits) and  $\{e_1, e_m\} \subseteq s(\mathcal{E}_1) \cap s(\mathcal{E}_2 \vee \dots \vee \mathcal{E}_m)$ ; this contradicts Theorem 2.3.

(ii) This follows from (i) as shown by Cunningham ([1], §6). ■

Partial confirmation of a conjecture of Recski ([9], Problem 6) also follows; we first need an easy lemma.

**Lemma 2.5.** *Let  $\mathcal{E}_1$  and  $\mathcal{E}_2$  be graphic, with  $|s(\mathcal{E}_1) \cap s(\mathcal{E}_2)| \leq 1$ . Then  $\mathcal{E}_1 \vee \mathcal{E}_2$  is graphic.*

**Proof.** The result is trivial if  $s(\mathcal{E}_1) \cap s(\mathcal{E}_2) = \emptyset$ . Let  $s(\mathcal{E}_i) = E_i \cup e$  ( $i=1, 2$ ), where  $E_1 \cap E_2 = \emptyset$ . Let  $\mathcal{E}_i$  be represented by the graph  $(V_i, E_i \cup e_i)$  (where  $e_i$  represents  $e$  in  $\mathcal{E}_i$ , and  $V_1 \cap V_2 = \emptyset$ ), and let  $v_i, w_i$  be the endpoints of  $e_i$  ( $i=1, 2$ ). Now take  $(V_1 \cup V_2, E_1 \cup E_2)$ , identify the vertices  $v_1$  and  $v_2$  and add an edge  $e$  joining  $w_1$  to  $w_2$ . It can be checked that the resulting graph represents  $\mathcal{E}_1 \vee \mathcal{E}_2$ . ■

**Corollary 2.6.** *Let  $\mathcal{E} = \mathcal{F} \vee \mathcal{G}$  be binary and cosimple, and let  $\mathcal{F}$  and  $\mathcal{G}$  be graphic. Then  $\mathcal{E}$  is graphic.*

**Proof.** Decompose  $\mathcal{F}$  and  $\mathcal{G}$  into connected components (each of which is graphic), getting  $\mathcal{F} = \bigoplus_{i \in I} \mathcal{F}_i$  and  $\mathcal{G} = \bigoplus_{j \in J} \mathcal{G}_j$ . By Corollary 2.4(i),  $\mathcal{E} = \bigvee (\{\mathcal{F}_i: i \in I\} \cup \{\mathcal{G}_j: j \in J\})$  is a forest decomposition. Thus, by repeated application of Lemma 2.5,  $\mathcal{E}$  is graphic. ■

### 3. Freedom in binary matroids

In [4], Duke defines the “freedom”  $\|a\|$ , or  $\|a\|_{\mathcal{E}}$ , of an element  $a$  in a matroid  $\mathcal{E}$ , and shows that  $\|a\|$  is equal to the maximum value of  $\mu(a)$  for an integer polymatroid  $\mu$  (i.e. an integer valued submodular increasing set function with  $\mu(\emptyset)=0$ ) which induces  $\mathcal{E}$  (see [7]). In [3] we generalize this to a definition of  $\|A\|$  for  $A \subseteq E$ , and likewise show that  $\|A\|$  is the maximum value of  $\mu(A)$  for an integer polymatroid  $\mu$  inducing  $\mathcal{E}$ . The following extension of [4], Theorem 5.3, appears in [3].

**Lemma 3.1.** *Let  $\mathcal{E} = \mathcal{F} \vee \mathcal{G}$ , and  $F \subseteq E$ . Then  $\|F\|_{\mathcal{E}} \cong \|F\|_{\mathcal{F}} + \|F\|_{\mathcal{G}}$ .*

**Proof.** Let  $v, \zeta$  induce  $\mathcal{F}, \mathcal{G}$  respectively. Then, by [7], Theorem 6.5,  $v + \zeta$  induces  $\mathcal{F} \vee \mathcal{G}$ . ■

Several examples (eg in [6]) have been given to show that there is not in general a unique maximal integer polymatroid inducing a given matroid. We now show that for binary matroids, however, there is. We need some lemmas.

**Lemma 3.2.** *Let  $\mathcal{E}$  be a cosimple matroid, such that  $\mathcal{E} \setminus e$  is disconnected, say  $\mathcal{E} \setminus e = \mathcal{E}_1 \oplus \mathcal{E}_2$ . Let  $\mathcal{E}_i = \mathcal{E} \cdot E_i \cup e$  (so  $\mathcal{E} = \mathcal{E}_1 \vee \mathcal{E}_2$ ). Then, for  $F \subseteq E$ ,  $\|F\|_{\mathcal{E}} = \|F\|_{\mathcal{E}_1} + \|F\|_{\mathcal{E}_2}$ .*

**Proof.** Let  $\mu$  induce  $\mathcal{E}$  such that  $\mu(\mathcal{F}) = \|F\|_{\mathcal{E}}$ . Since  $\mathcal{E} \setminus e$  is coloop-free,  $E_1$  and  $E_2$  are fully dependent and hence balanced, and so  $\mathcal{E}_1$  is induced by  $\mu_1$ , given by  $\mu_1(A) = \mu(A \cup E_2) - \mu(E_2)$  (and likewise  $\mathcal{E}_2$  by  $\mu_2$ ), by Lemma 1.2(i). Thus

$$\begin{aligned} \|F\|_{\mathcal{E}_1} + \|F\|_{\mathcal{E}_2} &\cong \mu_1(F) + \mu_2(F) = \mu(F \cup E_1) + \mu(F \cup E_2) - \mu(E_1) - \mu(E_2) \\ &\cong \mu(F \cup E_1 \cup E_2) + \mu(F) - \mu(E_1) - \mu(E_2) \\ &= q(E) + \mu(F) - q(E_1) - q(E_2) \end{aligned}$$

(since  $E, E_1$  and  $E_2$  are fully dependent and so balanced)

$$= \mu(F) = \|F\|_{\mathcal{E}}.$$

By Lemma 3.1 we have equality. ■

**Lemma 3.3.** *Let  $\mathcal{E} = \mathcal{F} \vee \mathcal{G}$ , where  $\mathcal{E}$  is coloop-free,  $A$  is a 1-coflat,  $a \in A$ ,  $A' = A \setminus a$ ,  $\mathcal{F} = \mathcal{E} / A'$  and  $\mathcal{G} = \mathcal{E} \cdot A$ . Then for  $F \subseteq E$  such that either  $a \in F$  or  $A \cap F = \emptyset$ ,  $\|F\|_{\mathcal{E}} = \|F\|_{\mathcal{F}} + \|F\|_{\mathcal{G}}$ .*

**Proof.** Let  $\mu$  induce  $\mathcal{E}$  such that  $\mu(F) = \|F\|_{\mathcal{E}}$ . Define  $v$  on  $E \setminus A'$  by

$$\begin{aligned} v(G) &= \mu(G) \quad (a \notin G) \\ v(G) &= \mu(G \cup A) - |A'| \quad (a \in G). \end{aligned}$$

We need to show that  $v$  is increasing. Let  $H \subseteq E \setminus A'$ ; we must show that  $v(H) \leq v(H \cup a)$ . As  $A$  is a coflat,  $E \setminus A$  is fully dependent and so balanced; hence

$$\begin{aligned} v(H) &= \mu(H) \leq \mu(E \setminus A) + \mu(H \cup A) - \mu(E) \quad (\text{by submodularity}) \\ &= q(E \setminus A) - q(E) + \mu(H \cup A) \\ &= \mu(H \cup A) - |A'| \quad (\text{since } q^*(A) = 1 \text{ and } \mathcal{E} \text{ is coloop-free}) \\ &= v(H \cup a). \end{aligned}$$

It is easy to see that  $v$  is submodular.

We show that  $v$  induces  $\mathcal{F} (= \mathcal{E} / A')$ . Let  $C$  be a circuit of  $\mathcal{F}$ . If  $a \notin C$ , then  $C$  is a circuit of  $\mathcal{E}$  and  $v(C) = \mu(C) = q(C) < |C|$ . If  $a \in C$ , then  $C \cup A$  is a circuit of  $\mathcal{E}$  (since elements of  $A$  are in series in  $\mathcal{E}$ ), and then  $v(C) = \mu(C \cup A) - |A'| =$

$= \varrho(C \cup A) - |A'| = |C| - 1$ . Now let  $H \subseteq E \setminus A'$ ; if  $a \in H$ , then

$$\sigma(H) = \varrho(H \cup A') - \varrho(A') \leq \mu(H \cup A) - |A'| = v(H),$$

and if  $a \notin H$ , then  $\sigma(H) \leq \varrho(H) \leq \mu(H) = v(H)$ . Thus  $v$  induces  $\mathcal{F}$ .

Let  $\mathcal{G}$ , which is a single circuit  $A$ , be induced by  $\xi(B) = |A'|$  for  $\emptyset \subset B \subseteq A$ . Then if  $a \in F$ ,  $(v + \xi)(F) = \mu(F \cup A)$ , and so if  $a \in F$  or  $F \cap A = \emptyset$ , we have

$$\|F\|_{\mathcal{F}} + \|F\|_{\mathcal{G}} \cong (v + \xi)(F) \cong \mu(F) = \|F\|_{\mathcal{E}},$$

and the equality follows from Lemma 3.1 ■

**Lemma 3.4.** Let  $\mathcal{E} = \bigvee_{i \in I} \mathcal{E}_i$ , and let  $A$  be a 1-coflat of  $\mathcal{E}$ . For  $i \in I$ , let  $\mu_i$  induce  $\mathcal{E}_i$  and define  $v_i$  by

$$\begin{aligned} v_i(F) &= \mu_i(F) & (F \cap A = \emptyset) \\ v_i(F) &= \mu_i(F \cup A) & (F \cap A \neq \emptyset). \end{aligned}$$

Let  $\mathcal{F}_i$  be induced by  $v_i$ . Then  $\mathcal{E} = \bigvee_{i \in I} \mathcal{F}_i$ .

**Proof.** Clearly each  $v_i$  is an integer polymatroid, and  $v_i \cong \mu_i$ . Let  $C$  be a circuit of  $\mathcal{E}$ ; then either  $A \subseteq C$  or  $C \cap A = \emptyset$  and so  $\sum_{i \in I} v_i(C) = \sum_{i \in I} \mu_i(C) = \varrho(C)$ . Thus  $\sum v_i$  induces  $\mathcal{E}$  (as does  $\sum \mu_i$ ) and the result follows. ■

**Theorem 3.5.** Let  $\mathcal{E}$  be a binary matroid. Then there is a unique maximal integer polymatroid  $\mu$  inducing  $\mathcal{E}$ , given by  $\mu(H) = \|H\|_{\mathcal{E}}$ .

**Proof.** Let  $\{A_j: j \in J\}$  be the set of 1-coflats of  $\mathcal{E}$  and for each  $j \in J$ , choose  $a_j \in A_j$  and let  $A'_j = A_j \setminus a_j$ . Let  $\mathcal{G}_j = \mathcal{E} \cdot A_j$  and let  $\mathcal{F} = \mathcal{E} / \bigcup_{j \in J} A'_j$ . Then  $\mathcal{E} = \mathcal{F} \vee \bigvee_{j \in J} \mathcal{G}_j$ , where  $\mathcal{F}$  is binary cosimple. Let  $\mathcal{F} = \bigvee_{i \in I} \mathcal{F}_i$  be the unique decomposition of  $\mathcal{F}$  into irreducible matroids  $\mathcal{F}_i$  (by Corollary 2.4). Since it is a forest decomposition, and since each summand of  $\mathcal{F}$  is binary cosimple (by Theorem 2.2), it follows from Lemma 3.2 that  $\|F\|_{\mathcal{F}} = \sum_{i \in I} \|F\|_{\mathcal{F}_i}$  for  $F \subseteq E$ . Now since each  $\mathcal{F}_i$  is irreducible it follows from Lemmas 1.3 and 2.1 that each singleton, and hence each set, is balanced in  $\mathcal{F}_i$ . Thus,  $\sigma_i(H)$  (the rank of  $H$  in  $\mathcal{F}_i$ ) is equal to  $\|H\|_{\mathcal{F}_i}$ ; also,  $\|\cdot\|_{\mathcal{G}_j}$  (given by  $\|H\| = |A'_j|$  provided that  $H \cap A_j \neq \emptyset$ ) induces  $\mathcal{G}_j$ .

Hence  $\sum_{i \in I} \|\cdot\|_{\mathcal{F}_i} + \sum_{j \in J} \|\cdot\|_{\mathcal{G}_j}$  is an integer polymatroid which induces  $\mathcal{E}$ ; by Lemma 3.3 and the remarks above, it is equal to  $\|\cdot\|_{\mathcal{E}}$ , and therefore maximal, on sets  $H$  satisfying  $H \cap A_j \neq \emptyset \Rightarrow a_j \in H$  ( $j \in J$ ). Following Lemma 3.4 we now define  $\mathcal{F}'_i$  from  $\mathcal{F}_i$ . Let  $\psi(H) = H \cup \{a_j: H \cap A_j \neq \emptyset\}$ , let  $\sigma'_i(H) = \sigma_i(\psi(H))$ , and let  $\mathcal{F}'_i$  be induced by  $\sigma'_i$  (which is, in fact, its rank function). By Lemma 3.4,  $\mathcal{E} = \bigvee_{i \in I} \mathcal{F}'_i \vee \bigvee_{j \in J} \mathcal{G}_j$ , and so  $\mu = \sum_{i \in I} \sigma'_i + \sum_{j \in J} \|\cdot\|_{\mathcal{G}_j}$  induces  $\mathcal{E}$ . Now, for  $H \subseteq E$ ,

$$\begin{aligned} \mu(H) &= \sum_{i \in I} \sigma_i(\psi(H)) + \sum_{j \in J} \|H\|_{\mathcal{G}_j} \\ &= \sum_{i \in I} \|\psi(H)\|_{\mathcal{F}_i} + \sum_{j \in J} \|\psi(H)\|_{\mathcal{G}_j} = \|\psi(H)\|_{\mathcal{E}} \cong \|H\|_{\mathcal{E}}. \end{aligned}$$

Therefore we have equality, and  $\mu$  is maximal. ■

We next consider the matroid equation  $\mathcal{E} = \mathcal{F} \vee \mathcal{X}$ , where  $\mathcal{E}$  and  $\mathcal{F}$  are given. Recski (private communication) conjectures that, when  $\mathcal{E}$  is binary and the equation can be solved for  $\mathcal{X}$ , there is a unique maximal solution. He has proved this, in [8], for  $\mathcal{E}$  graphic. First we show that it is not true for general  $\mathcal{E}$ .

**Example 3.6.** Let  $E = \{1, 2, \dots, 11\}$ , and let  $\mathcal{E}$  be of rank 5, with non-spanning circuits  $\{1, 3, 4, 11\}$ ,  $\{1, 2, 5, 6, 7\}$  and  $\{1, 2, 8, 9, 10\}$ . Let  $\mathcal{F}$ ,  $\mathcal{G}_1$  and  $\mathcal{G}_2$  (with rank functions  $\sigma$ ,  $\tau_1$  and  $\tau_2$ ) be the free-est matroids such that:

$$\text{rk}(\mathcal{F}) = 2, \quad \sigma(\{1, 3, 4, 11\}) = 1.$$

$$\text{rk}(\mathcal{G}_1) = 3, \quad \tau_1(\{1, 3, 4, 11\}) = \tau_1(\{1, 5, 6, 7\}) = \tau_1(\{1, 8, 9, 10\}) = 2, \quad \tau_1(2) = 0$$

$$\text{rk}(\mathcal{G}_2) = 3, \quad \tau_2(\{3, 4, 11\}) = \tau_2(\{2, 5, 6, 7\}) = \tau_2(\{2, 8, 9, 10\}) = 2, \quad \tau_2(1) = 0.$$

Then it can be checked that  $\mathcal{E} = \mathcal{F} \vee \mathcal{G}_1 = \mathcal{F} \vee \mathcal{G}_2$ . However, suppose  $\mathcal{G} \supseteq \mathcal{G}_1 \cup \mathcal{G}_2$ , and  $\mathcal{E} = \mathcal{F} \vee \mathcal{G}$ . Then  $\tau(\{1, 2\}) + \tau(\{1, 3, 4\}) \cong \tau(1) + \tau(\{1, 2, 3, 4\}) \cong 4$ . As  $\{1, 3, 4, 11\}$  is a circuit of  $\mathcal{E}$ , and thus balanced,  $\tau(\{1, 3, 4, 11\}) = 2$ , and so  $\tau(\{1, 2\}) = 2$ . As  $\{1, 2, 5, 6, 7\}$  is a circuit,  $\tau(\{1, 2, 5, 6\}) \cong 2$ , so 5, 6 and likewise 8 are spanned by  $\{1, 2\}$  in  $\mathcal{G}$ . This gives  $(\sigma + \tau)(\{1, 2, 5, 6, 8\}) = 2 + 2 = 4$ , a contradiction. ■

When  $\mathcal{E}$  is cosimple, a result is immediate.

**Corollary 3.7.** *Let  $\mathcal{E}$  be a binary cosimple matroid, and let  $\mathcal{E} = \mathcal{F} \vee \mathcal{G}$ . Then for  $F \subseteq E$ ,  $\|F\|_{\mathcal{E}} = \|F\|_{\mathcal{F}} + \|F\|_{\mathcal{G}}$ ; also  $\mathcal{G}$  is uniquely determined by  $\mathcal{E}$  and  $\mathcal{F}$ , as it is induced by the integer polymatroid  $\xi$ , given by  $\xi(F) = \|F\|_{\mathcal{E}} - \|F\|_{\mathcal{F}}$ , for  $F \subseteq E$ .*

**Proof.** By Theorem 2.2,  $\mathcal{F}$  and  $\mathcal{G}$  are binary cosimple. Let  $\mathcal{F} = \bigvee_{i \in I} \mathcal{F}_i$  and  $\mathcal{G} = \bigvee_{j \in J} \mathcal{G}_j$  be the unique (by Corollary 2.4(ii)) decompositions of  $\mathcal{F}$  and  $\mathcal{G}$  into irreducible matroids. Then  $\mathcal{E} = \bigvee_{i \in I} (\mathcal{F}_i \cup \{\mathcal{G}_j : j \in J\})$  is the unique decomposition of  $\mathcal{E}$  into irreducible matroids. It follows from the proof of Theorem 3.5 that  $\|F\|_{\mathcal{F}} = \sum_{i \in I} \|F\|_{\mathcal{F}_i}$ ,  $\|F\|_{\mathcal{G}} = \sum_{j \in J} \|F\|_{\mathcal{G}_j}$  and  $\|F\|_{\mathcal{E}} = \sum_{i \in I} \|F\|_{\mathcal{F}_i} + \sum_{j \in J} \|F\|_{\mathcal{G}_j}$ . Also by Theorem 3.5,  $\mathcal{G}$  is induced by  $\|\cdot\|_{\mathcal{G}} = \|\cdot\|_{\mathcal{E}} - \|\cdot\|_{\mathcal{F}}$ . ■

We now look at the case where  $\mathcal{E}$  is not necessarily cosimple, for which we can obtain a partial result in this direction.

**Lemma 3.8.** *Let  $\mathcal{E} = \bigvee_{i \in I} \mathcal{E}_i$ , where  $\mathcal{E}$  is coloop-free; let  $A$  be a 1-coflat of  $\mathcal{E}$ ,  $a \in A$  and  $A' = A \setminus a$ . For  $i \in I$ , let  $\mu_i = q_i$  and define  $\mathcal{F}_i$  as in Lemma 3.4. Then  $\mathcal{E} \cdot A = \bigvee_{i \in I} \mathcal{F}_i \cdot A$ , of which  $A'$  is a basis; let  $A' = \bigcup_{i \in I} B_i$  (disjoint union) such that  $B_i \in \mathcal{F}_i \cdot A$  for each  $i \in I$ . Let  $\mathcal{F}_i'$  denote  $\mathcal{F}_i / B_i | (E \setminus A')$ . Then  $\mathcal{E} / A' = \bigvee_{i \in I} \mathcal{F}_i'$ .*

**Proof.** As  $A$  is a coflat,  $E \setminus A$  is fully dependent and so balanced; as  $\mathcal{E} = \bigvee_{i \in I} \mathcal{F}_i$  (by Lemma 3.4), it follows from Lemma 1.2(ii) that  $\mathcal{E} \cdot A = \bigvee_{i \in I} \mathcal{F}_i \cdot A$ . Clearly  $\mathcal{E} / A' \supseteq \bigvee_{i \in I} \mathcal{F}_i'$ . Let  $H \in \mathcal{E} / A'$ , such that  $a \notin H$ , and let  $H = \bigcup_{i \in I} H_i$ , where  $H_i \in \mathcal{F}_i$ .



Then for each  $i \in I$ ,  $H_i \cup B_i \in \mathcal{F}_i$ , and  $H_i \in \mathcal{F}'_i$  and so  $H \in \bigvee_{i \in I} \mathcal{F}'_i$ . If  $H \cup a \in \mathcal{E}/A'$  ( $a \notin H$ ), we have  $H \cup A \in \mathcal{E} = \bigvee_{i \in I} \mathcal{F}_i$ , say  $H_i \cup C_i \in \mathcal{F}_i$ , where  $H = \bigcup_{i \in I} H_i$  and  $A = \bigcup_{i \in I} C_i$ . Choose  $k \in I$  such that  $|C_k| > |B_k|$ ; as  $\mathcal{F}_k \supseteq \mathcal{E}_k$  and all elements of  $A$  are equivalent in  $\mathcal{F}_k$ ,  $H_k \cup a \cup B_k \in \mathcal{F}_k$  and  $H_k \cup a \in \mathcal{F}'_k$ . As before,  $H_i \in \mathcal{F}'_i$  for all  $i \in I$ . Thus  $H \cup a \in \bigvee_{i \in I} \mathcal{F}'_i$ , and the result follows. ■

**Theorem 3.9.** Let  $\mathcal{E} = \mathcal{F} \vee \mathcal{G}$ , where  $\mathcal{E}$  is binary and coloop-free. Let  $\mathcal{G}'$  be derived from  $\mathcal{G}$  as follows: using every 1-coflat of  $\mathcal{E}$  in turn, repeatedly apply the construction which, in Lemma 3.8, derives  $\mathcal{F}'_i$  from  $\mathcal{E}_i$  using the coflat  $A$ . Then  $\mathcal{G}'$  is binary cosimple, and is determined by  $\mathcal{E}$  and  $\mathcal{F}$ .

**Proof.** Let  $\{A_j : j \in J\}$  be the set of 1-coflats of  $\mathcal{E}$ , and for each  $j \in J$ , choose  $a_j \in A_j$  and let  $A'_j = A_j \setminus a_j$ . Then, by repeated application of Lemma 3.8,  $\mathcal{E} / \bigcup_{j \in J} A'_j = \mathcal{F}' \vee \mathcal{G}'$ . Thus, by Theorem 2.2,  $\mathcal{G}'$  is binary cosimple, and by Corollary 3.7 it is determined by  $\mathcal{E} / \bigcup_j A'_j$  and  $\mathcal{F}'$  and hence by  $\mathcal{E}$  and  $\mathcal{F}$ . ■

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J. E. Dawson

Australian National University  
G.P.O. Box 4  
Canberra, ACT 2600  
Australia